RELIABLE IT
BANKING

MANAGED COMPLIANCE
PROGRAM

IT Risk Assessment

Reliable IT will review the various threats, while identifying and documenting safeguards and controls that reduce risks to acceptable levels. The risk analysis identifies specific administrative, physical, and technical safeguards that protect data and manage information security risks.

Polices & Procedures

Reliable IT will review, design or create your IT policies and procedures to meet the General Control standards for FFIEC and related regulations. Policies are parsed into sections for each area, designed so the policies can scale and facilitate efficient and cost-effective audit and maintenance efforts.

Business Continuity Planning

Our Comprehensive Business Continuity Planning services will help support your continued operation in the event of a catastrophe. Services include business impact analysis, risk assessments, program reviews, training, testing, user support, and design of business function contingency planning, pandemic planning, critical contacts, and crisis management.

Audit Support & Remediation

Reliable IT provides pre and post audit support. Pre-Audit Services include preparation of updated IT Policies and Procedures, keeping clients informed of current auditor and examiner trends, documenting compliance with Information Security programs, and providing enhanced reporting and documentation resources. Post Audit Services include assistance in the bank's official response to the audit, in addition to offering guidance on items that should be accepted, challenged and remediated.

Vendor Management

Reliable IT understands the importance of risk management. We begin by providing a thorough evaluation and then assist clients in creating an effective risk management process, especially for all outsourced operations. We offer guidance throughout a client's risk management procedure by verifying that it incorporates the proper risk assessment for each vendor, due diligence in selecting a service provider, contract negotiation and implementation, as well as ongoing monitoring.

GLBA Management

Designed specifically to meet GLBA requirements for banks and credit unions, this service includes regulation-specific program reviews, documentation, on-site controls assessment, and remediation planning.

Reliable IT's Managed Compliance program is designed to help each bank reach full FFIEC compliance and maintain it. The program is completely transparent and redundant. The Compliance team works closely with bank personnel to ensure the bank always has full control of the program.

The program is headed by the IT Governance Manager and supported by the IT Governance Operations support team. These individuals work closely with the bank's internal IT Business Liaison to form the IT Governance Department.

RISK · **BCP** · **Managed Compliance** · **Audit** · **Vendor** · **P&P**

Contact us today to schedule your Compliance Assessment
866.634.3230

The IT Governance Program has several Key Controls:

1. **Daily Controls**
   - **Daily systems stats reports**

2. **Weekly Controls**
   - **Weekly projects and system status reports**
   - **Weekly meeting with IT Governance program operator (through implementation period)**

3. **Monthly Controls**
   - **Monthly IT committee meeting**
   - **Monthly systems and project status report**

4. **Quarterly Controls**
   - **Quarterly Risk Assessment report**
   - **Quarterly Data Backup test restore**

5. **Annual Controls**
   - **Business Continuity Plan Update**
   - **Business Continuity Plan Testing**
   - **Third Party Audit Support**
   - **Third Party IT Vulnerability Assessment (internal/external) support**

Monthly Information Security Oversight, Compliance, Advanced, & Corporate IT Support Services

1. **Information security oversight: logical and physical security (including branches)**
2. **Monthly and as needed analysis of the Bank's IT status, & Participation in monthly IT meetings**
3. **IT vendor oversight, service levels, invoices reviews**
4. **Quarterly Risk Assessment updates**
5. **Quarterly maintenance of the BCP**
6. **Quarterly maintenance of documentation**
7. **Annual testing of the BCP Plan**
8. **IT audit responses and follow up**
9. **IT regulatory examination responses and follow up**

Monthly IT Management, Support, & Operations Services

1. **Weekly status visits and communication with the Bank's personnel**
2. **Remote control of daily IT operations**
3. **Oversight of IT vendors and network personnel**
4. **Report preparation, minutes, and participation in monthly IT status meetings**